

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**(Only for new non-provisional applications under 37 C.F.R.  
§ 1.53(b))

Attorney Docket No.

110769-00104

First Inventor or  
Application Identifier

Craig A. KRUEGER

Title: WIRELESS DISTRIBUTED CERTIFIED REAL TIME BIDDING  
AND TRACKING SYSTEM FOR LIVE AUCTIONSExpress Mail Label  
No.**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- 1.
- ☒
- Fee Transmittal Form (e.g., PTO/SB/17)
- 
- (Submit an original, and a duplicate for fee processing)

- 2.
- ☒
- Specification [Total Pages 26]
- 
- (preferred arrangement set forth below)

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

- 3.
- ☒
- Drawing(s) (35 USC 113) [Total Sheets 2]

- 4.
- ☒
- Oath or Declaration [Total Pages 4]

- a.
- ☒
- Newly executed (original or copy)

- b.
- ☐
- Copy from a prior application (37 C.F.R.
- 
- 1.63(d))
- 
- (for continuation/divisional with Box 17 completed)
- 
- [Note Box 5 below]

- i.
- ☐
- DELETION OF INVENTOR(S)
- 
- Signed statement attached deleting inventor(s)
- 
- named in the prior application, see 37 C.F.R.
- 
- 1.63(d)(2) and 1.33(b).

- 5.
- ☐
- Incorporation By Reference (useable if Box 4b is checked)
- 
- The entire disclosure of the prior application, from
- 
- which a copy of the oath or declaration is supplied
- 
- under Box 4b, is considered as being part of the
- 
- disclosure of the accompanying application and is

- 6.
- ☐
- Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission
- 
- (if applicable, all necessary)

- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity or above copy

**ACCOMPANYING APPLICATION PARTS**

- 8. ☐ Assignment Paper (cover sheet & document(s))
- 9. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
- 10. ☐ English Translation Document (if applicable)
- 11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations
- 12. ☐ Preliminary Amendment
- 13. ☐ Return Receipt Postcard (MPEP 503)  
(should be specifically itemized)
- 14. ☐ \*Small Entity ☐ Statement filed in prior application.  
Statement(s) Status still proper and desired  
(PTO/SB/09-12)
- 15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- 16. ☐ Other: .....

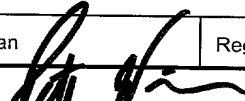
\*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY  
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT  
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON  
(37 C.F.R. § 1.28).

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/912,557  
Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_
**18. CORRESPONDENCE ADDRESS**

- ☒
- Customer Number or Bar Code Label .....002779..... or
- ☒
- Correspondence address below
- 
- (insert Customer No. or Attach bar code label here)

NAME	Peter S. Weissman				
ADDRESS	BLANK ROME COMISKY & MCCAULEY LLP 900 17 <sup>TH</sup> STREET, N.W., SUITE 1000				
CITY	WASHINGTON	STATE	D.C.	ZIP CODE	20006
COUNTRY	U.S.	TELEPHONE	(202) 530-7405	FACSIMILE	(202) 463-6915

Name (Print/Type)	Peter S. Weissman	Registration No. (Attorney/Agent)	40,220
Signature		Date	1/25/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents, Box Patent Application, Washington, DC 20231

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 370.00

Complete if Known

Application Number To be assigned  
Filing Date January 25, 2002  
First Named Inventor Craig A. KRUEGER  
Examiner Name To be assigned  
Group / Art Unit To be assigned  
Attorney Docket No 110769-00104

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to

Deposit  
Account  
Number

23-2185

Deposit  
Account  
Name

BLANK ROME COMISKY &  
MCCAULEY LLP

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☒ Applicant claims small entity status  
See 37 CFR 1.27

2. ☒ Payment Enclosed

- ☒ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	370
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$ 370)

### 2. EXTRA CLAIM FEES

Total Claims	12	-20**	=	0	X	Fee from below \$18	=	Fee Paid \$0
Independent Claims	2	-3**	=	0	X	\$84	=	\$0
Multiple Dependent								\$0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee for provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 0)

BLANK ROME COMISKY & MCCAULEY LLP  
THE FARRAGUT BUILDING  
SUITE 1000  
900 17<sup>TH</sup> STREET, NW  
WASHINGTON, DC 20006  
TEL (202) 530-7400 FAX (202) 463-6915



27557

PATENT TRADEMARK OFFICE

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Peter S. Weisman

Registration No (Attorney/Agent)

40,220

Telephone

202-530-7405

Signature

*[Handwritten Signature]*

Date

January 25, 2002